

114TH CONGRESS  
1ST SESSION

# S. 1864

To improve national security by developing metrics to measure the effectiveness of security between ports of entry, at points of entry, and along the maritime border.

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IN THE SENATE OF THE UNITED STATES

JULY 27, 2015

Mr. JOHNSON (for himself, Mr. McCAIN, and Mr. CORNYN) introduced the following bill; which was read twice and referred to the Committee on Homeland Security and Governmental Affairs

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## A BILL

To improve national security by developing metrics to measure the effectiveness of security between ports of entry, at points of entry, and along the maritime border.

1       *Be it enacted by the Senate and House of Representa-*

2       *tives of the United States of America in Congress assembled,*

3       **SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Department of Home-

5 land Security Border Security Metrics Act of 2015”.

6       **SEC. 2. DEFINITIONS.**

7       In this Act:

1                             (1) APPROPRIATE CONGRESSIONAL COMMIT-  
2                             TEES.—The term “appropriate congressional com-  
3                             mittees” means—

4                                 (A) the Committee on Homeland Security  
5                             and Governmental Affairs of the Senate;  
6                                 (B) the Committee on Homeland Security  
7                             of the House of Representatives;  
8                                 (C) the Committee on the Judiciary of the  
9                             Senate; and  
10                                 (D) the Committee on the Judiciary of the  
11                             House of Representatives.

12                             (2) CONSEQUENCE DELIVERY SYSTEM.—The  
13                             term “Consequence Delivery System” means the se-  
14                             ries of consequences applied by the Border Patrol to  
15                             persons unlawfully entering the United States to  
16                             prevent unlawful border crossing recidivism.

17                             (3) GOT AWAY.—The term “got away” means  
18                             an unlawful border crosser who—

19                                 (A) is directly or indirectly observed mak-  
20                             ing an unlawful entry into the United States;  
21                             and

22                                 (B) is not a turn back and is not appre-  
23                             hended.

1                             (4) KNOWN MIGRANT FLOW.—The term  
2       “known migrant flow” means the sum of the num-  
3       ber of undocumented migrants—

4                             (A) interdicted at sea;  
5                             (B) identified at sea, but not interdicted;  
6                             (C) that successfully entered the United  
7       States through the maritime border; or  
8                             (D) not described in subparagraph (A),  
9       (B), or (C), which were otherwise reported, with  
10      a significant degree of certainty, as having en-  
11      tered, or attempted to enter, the United States  
12      through the maritime border.

13                           (5) MAJOR VIOLATOR.—The term “major viola-  
14      tor” means a person or entity that has engaged in  
15      serious criminal activities at any land, air, or sea  
16      port of entry, including—

17                             (A) possession of illicit drugs;  
18                             (B) smuggling of prohibited products;  
19                             (C) human smuggling;  
20                             (D) weapons possession;  
21                             (E) use of fraudulent United States docu-  
22      ments; or  
23                             (F) other offenses that are serious enough  
24      to result in arrest.

1                         (6) SITUATIONAL AWARENESS.—The term “sit-  
2 uational awareness” means knowledge and unified  
3 understanding of current unlawful cross-border ac-  
4 tivity, including—

5                             (A) threats and trends concerning illicit  
6 trafficking and unlawful crossings;

7                             (B) the ability to forecast future shifts in  
8 such threats and trends;

9                             (C) the ability to evaluate such threats and  
10 trends at a level sufficient to create actionable  
11 plans; and

12                             (D) the operational capability to conduct  
13 persistent and integrated surveillance of the  
14 international borders of the United States.

15                         (7) TRANSIT ZONE.—The term “transit zone”  
16 means the sea corridors of the western Atlantic  
17 Ocean, the Gulf of Mexico, the Caribbean Sea, and  
18 the eastern Pacific Ocean through which undocu-  
19 mented migrants and illicit drugs transit, either di-  
20 rectly or indirectly, to the United States.

21                         (8) TURN BACK.—The term “turn back” means  
22 an unlawful border crosser who, after making an un-  
23 lawful entry into the United States, promptly re-  
24 turns to the country from which such crosser en-  
25 tered.

1                             (9) UNLAWFUL BORDER CROSSING EFFECTIVENESS RATE.—The term “unlawful border crossing effectiveness rate” means the percentage that results from dividing—

5                             (A) the number of apprehensions and turn backs; and

7                             (B) the number of apprehensions, estimated unlawful entries, turn backs, and got aways.

10                          (10) UNLAWFUL ENTRY.—The term “unlawful entry” means an unlawful border crosser who enters the United States and is not apprehended by a border security component of the Department of Homeland Security.

15                          **SEC. 3. METRICS FOR SECURING THE BORDER BETWEEN PORTS OF ENTRY.**

17                          (a) IN GENERAL.—Not later than 120 days after the date of the enactment of this Act, the Secretary of Homeland Security shall develop metrics, informed by situational awareness, to measure the effectiveness of security between ports of entry. The Secretary shall annually implement the metrics developed under this subsection, which shall include—

1                             (1) estimates, using alternative methodologies,  
2                             including recidivism data, survey data, known-flow  
3                             data, and technologically measured data, of—

4                                 (A) total attempted unlawful border cross-  
5                             ings;

6                                 (B) the rate of apprehension of attempted  
7                             unlawful border crossers; and

8                                 (C) the number of unlawful entries;

9                             (2) a situational awareness achievement metric,  
10                             which measures situational awareness achieved in  
11                             each Border Patrol sector;

12                             (3) an unlawful border crossing effectiveness  
13                             rate;

14                             (4) a probability of detection, which compares  
15                             the estimated total unlawful border crossing at-  
16                             tempts not detected by the Border Patrol to the un-  
17                             lawful border crossing effectiveness rate, as informed  
18                             by paragraph (1);

19                             (5) an illicit drugs seizure rate for drugs seized  
20                             by the Border Patrol, which compares the ratio of  
21                             the amount and type of illicit drugs seized by the  
22                             Border Patrol in any fiscal year to the average of  
23                             the amount and type of illicit drugs seized by the  
24                             Border Patrol in the immediately preceding 5 fiscal  
25                             years;

1                         (6) a weight-to-frequency rate, which compares  
2                         the average weight of marijuana seized per seizure  
3                         by the Border Patrol in any fiscal year to such  
4                         weight-to-frequency rate for the immediately pre-  
5                         ceding 5 fiscal years;

6                         (7) estimates of the impact of the Consequence  
7                         Delivery System on the rate of recidivism of unlaw-  
8                         ful border crossers over multiple fiscal years; and

9                         (8) an examination of each consequence re-  
10                         ferred to in paragraph (7), including—

- 11                         (A) voluntary return;
- 12                         (B) warrant of arrest or notice to appear;
- 13                         (C) expedited removal;
- 14                         (D) reinstatement of removal;
- 15                         (E) alien transfer exit program;
- 16                         (F) Operation Streamline;
- 17                         (G) standard prosecution; and
- 18                         (H) Operation Against Smugglers Initia-  
19                         tive on Safety and Security.

20                         (b) METRICS CONSULTATION.—In developing the  
21                         metrics required under subsection (a), the Secretary  
22                         shall—

23                         (1) consult with the appropriate components of  
24                         the Department of Homeland Security; and

7 (c) MANNER OF COLLECTION.—The data used by the  
8 Secretary of Homeland Security shall be collected and re-  
9 ported in a consistent and standardized manner across all  
10 Border Patrol sectors, informed by situational awareness.

11 SEC. 4. METRICS FOR SECURING THE BORDER AT PORTS

**12 OF ENTRY.**

13           (a) IN GENERAL.—Not later than 120 days after the  
14 date of the enactment of this Act, the Secretary of Home-  
15 land Security shall develop metrics, informed by situa-  
16 tional awareness, to measure the effectiveness of security  
17 at ports of entry. The Secretary shall annually implement  
18 the metrics developed under this subsection, which shall  
19 include—

(1) estimates, using alternative methodologies,  
including survey data and randomized secondary  
screening data, of—

(A) total attempted inadmissible border crossings;

(B) the rate of apprehension of attempted inadmissible border crossings; and

(C) the number of unlawful entries;

(3) an illicit drugs seizure rate for drugs seized by the Office of Field Operations, which compares the ratio of the amount and type of illicit drugs seized by the Office of Field Operations in any fiscal year to the average of the amount and type of illicit drugs seized by the Office of Field Operations in the immediately preceding 5 fiscal years;

(B) the total estimated cocaine flow rate at ports of entry along the land border;

1 ports of entry, and the estimated number of such in-  
2 fractions committed by major violators who are not  
3 apprehended;

4 (6) a measurement of how border security oper-  
5 ations affect crossing times, including—

6 (A) a wait time ratio that compares the av-  
7 erage wait times to total commercial and pri-  
8 vate vehicular traffic volumes at each port of  
9 entry;

10 (B) an infrastructure capacity utilization  
11 rate that measures traffic volume against the  
12 physical and staffing capacity at each port of  
13 entry;

14 (C) a secondary examination rate that  
15 measures the frequency of secondary examina-  
16 tions at each port of entry; and

17 (D) an enforcement rate that measures the  
18 effectiveness of secondary examinations at de-  
19 tecting major violators; and

20 (7) a cargo scanning rate that includes—

21 (A) a comparison of the number of high-  
22 risk cargo containers scanned by the Office of  
23 Field Operations at each United States seaport  
24 during the fiscal year to the total number of  
25 high-risk cargo containers entering the United

1           States at each seaport during the previous fis-  
2           cal year;

3               (B) the percentage of all cargo that is con-  
4               sidered “high-risk” cargo; and

5               (C) the percentage of high-risk cargo  
6               scanned—

7                       (i) upon arrival at a United States  
8                       seaport before entering United States com-  
9                       merce; and

10                       (ii) before being laden on a vessel des-  
11                       tined for the United States.

12               (b) METRICS CONSULTATION.—In developing the  
13 metrics required under subsection (a), the Secretary  
14 shall—

15                       (1) consult with the appropriate components of  
16 the Department of Homeland Security; and

17                       (2) as appropriate, work with other agencies,  
18 including the Office of Refugee Resettlement of the  
19 Department of Health and Human Services and the  
20 Executive Office for Immigration Review of the De-  
21 partment of Justice, to ensure that authoritative  
22 data sources are utilized.

23               (c) MANNER OF COLLECTION.—The data used by the  
24 Secretary of Homeland Security shall be collected and re-

1 ported in a consistent and standardized manner across all  
2 field offices, informed by situational awareness.

3 **SEC. 5. METRICS FOR SECURING THE MARITIME BORDER.**

4 (a) IN GENERAL.—Not later than 120 days after the  
5 date of the enactment of this Act, the Secretary of Home-  
6 land Security shall develop metrics, informed by situa-  
7 tional awareness, to measure the effectiveness of security  
8 in the maritime environment. The Secretary shall annually  
9 implement the metrics developed under this subsection,  
10 which shall include—

11 (1) situational awareness achieved in the mari-  
12 time environment;

13 (2) an undocumented migrant interdiction rate,  
14 which compares the migrants interdicted at sea to  
15 the total known migrant flow;

16 (3) an illicit drugs removal rate, for drugs re-  
17 moved inside and outside of a transit zone, which  
18 compares the amount and type of illicit drugs re-  
19 moved, including drugs abandoned at sea, by the  
20 Department of Homeland Security's maritime secu-  
21 rity components in any fiscal year to the average of  
22 the amount and type of illicit drugs removed by the  
23 Department of Homeland Security's maritime com-  
24 ponents for the immediately preceding 5 fiscal years;

- 1                             (4) in consultation with the Office of National  
2                             Drug Control Policy and the United States Southern  
3                             Command, a cocaine removal effectiveness rate, for  
4                             cocaine removed inside a transit zone and outside a  
5                             transit zone; which compares the amount of cocaine  
6                             removed by the Department of Homeland Security's  
7                             maritime security components by the total docu-  
8                             mented cocaine flow rate, as contained in Federal  
9                             drug databases;
- 10                            (5) a response rate, which compares the ability  
11                             of the maritime security components of the Depart-  
12                             ment of Homeland Security to respond to and re-  
13                             solve known maritime threats, whether inside and  
14                             outside a transit zone, by placing assets on-scene, to  
15                             the total number of events with respect to which the  
16                             Department has known threat information; and
- 17                            (6) an intergovernmental response rate, which  
18                             compares the ability of the maritime security compo-  
19                             nents of the Department of Homeland Security or  
20                             other United States Government entities to respond  
21                             to and resolve actionable maritime threats, whether  
22                             inside or outside the Western Hemisphere transit  
23                             zone, by targeting maritime threats in order to de-  
24                             tect them, and of those threats detected, the total  
25                             number of maritime threats interdicted or disrupted.

1       (b) METRICS CONSULTATION.—In developing the  
2 metrics required under subsection (a), the Secretary  
3 shall—

4                 (1) consult with the appropriate components of  
5 the Department of Homeland Security; and  
6                 (2) as appropriate, work with other agencies,  
7 including the Drug Enforcement Agency, the De-  
8 partment of Defense, and the Department of Jus-  
9 tice, to ensure that authoritative data sources are  
10 utilized.

11       (c) MANNER OF COLLECTION.—The data used by the  
12 Secretary of Homeland Security shall be collected and re-  
13 ported in a consistent and standardized manner, informed  
14 by situational awareness.

15 **SEC. 6. AIR AND MARINE SECURITY METRICS IN THE LAND  
16 DOMAIN.**

17       (a) IN GENERAL.—Not later than 120 days after the  
18 date of the enactment of this Act, the Secretary of Home-  
19 land Security shall develop metrics, informed by situa-  
20 tional awareness, to measure the effectiveness of the avia-  
21 tion assets and operations of the Office of Air and Marine  
22 of U.S. Customs and Border Enforcement. The Secretary  
23 shall annually implement the metrics developed under this  
24 subsection, which shall include—

1                   (1) an effectiveness rate, which compares Office  
2                   of Air and Marine flight hours requirements to the  
3                   number of flight hours flown by such Office;

4                   (2) a funded flight hour effectiveness rate,  
5                   which compares the number of funded flight hours  
6                   appropriated to the Office of Air and Marine to the  
7                   number of actual flight hours flown by such Office;

8                   (3) a readiness rate, which compares the num-  
9                   ber of aviation missions flown by the Office of Air  
10                  and Marine to the number of aviation missions can-  
11                  celled by such Office due to maintenance, operations,  
12                  or other causes;

13                  (4) the number of missions cancelled by such  
14                  Office due to weather compared to the total planned  
15                  missions;

16                  (5) the number of subjects detected by the Of-  
17                  fice of Air and Marine through the use of unmanned  
18                  aerial systems and manned aircrafts;

19                  (6) the number of apprehensions assisted by the  
20                  Office of Air and Marine through the use of un-  
21                  manned aerial systems and manned aircrafts;

22                  (7) the number and quantity of illicit drug sei-  
23                  zures assisted by the Office of Air and Marine  
24                  through the use of unmanned aerial systems and  
25                  manned aircrafts; and

1                         (8) the number of times that usable intelligence  
2                         related to border security was obtained through the  
3                         use of unmanned aerial systems and manned air-  
4                         craft.

5                         (b) METRICS CONSULTATION.—In developing the  
6                         metrics required under subsection (a), the Secretary  
7                         shall—

8                         (1) consult with the appropriate components of  
9                         the Department of Homeland Security; and

10                         (2) as appropriate, work with other agencies,  
11                         including the Department of Justice, to ensure that  
12                         authoritative data sources are utilized.

13                         (c) MANNER OF COLLECTION.—The data used by the  
14                         Secretary of Homeland Security shall be collected and re-  
15                         ported in a consistent and standardized manner, informed  
16                         by situational awareness.

17 **SEC. 7. DATA TRANSPARENCY.**

18                         The Secretary of Homeland Security shall—

19                         (1) in accordance with applicable privacy laws,  
20                         make data related to apprehensions, inadmissible  
21                         aliens, drug seizures, and other enforcement actions  
22                         available to the public, academic research, and law  
23                         enforcement communities; and

4 SEC. 8. EVALUATION BY THE GOVERNMENT ACCOUNTABILITY OFFICE AND THE SECRETARY OF HOMELAND SECURITY.

## 7 (a) METRICS REPORT.—

8                             (1) MANDATORY DISCLOSURES.—The Secretary  
9         of Homeland Security shall submit an annual report  
10       containing the metrics required under sections 3  
11       through 6 and the data and methodology used to de-  
12       velop such metrics to—

(B) the Comptroller General of the United States.

(A) the National Center for Border Security and Immigration;

1           oratory network with prior expertise in border  
2           security; and

3           (C) a Federally Funded Research and De-  
4           velopment Center sponsored by the Department  
5           of Homeland Security.

6       (b) GAO REPORT.—Not later than 270 days after  
7   receiving the first report under subsection (a)(1), and bi-  
8   annually thereafter for the following 10 years, the Com-  
9   troller General of the United States, shall submit a report  
10   to the appropriate congressional committees that—

11           (1) analyzes the suitability and statistical valid-  
12           ity of the data and methodology contained in such  
13           report; and

14           (2) includes recommendations to Congress on—  
15           (A) the feasibility of other suitable metrics  
16           that may be used to measure the effectiveness  
17           of border security; and

18           (B) improvements that need to be made to  
19           the metrics being used to measure the effective-  
20           ness of border security.

21       (c) STATE OF THE BORDER REPORT.—Not later  
22   than 60 days after the end of each fiscal year through  
23   fiscal year 2025, the Secretary of Homeland Security shall  
24   submit a “State of the Border” report to the appropriate  
25   congressional committees that—

1                 (1) provides trends for each metric under sec-  
2         tions 3 through 6 for the last 10 years, to the extent  
3         possible;

4                 (2) provides selected analysis into related as-  
5         pects of illegal flow rates, including legal flows and  
6         stock estimation techniques; and

7                 (3) includes any other information that the Sec-  
8         retary determines appropriate.

9                 (d) METRICS UPDATE.—

10                 (1) IN GENERAL.—After submitting the final  
11         report to the Comptroller General under subsection  
12         (a), the Secretary of Homeland Security may re-  
13         evaluate and update any of the metrics required  
14         under sections 3 through 6 to ensure that such  
15         metrics—

16                 (A) meet the Department of Homeland Se-  
17         curity's performance management needs; and

18                 (B) are suitable to measure the effective-  
19         ness of border security.

20                 (2) CONGRESSIONAL NOTIFICATION.—Not later  
21         than 30 days before updating the metrics under  
22         paragraph (1), the Secretary shall notify the appro-  
23         priate congressional committees of such updates.

